

04-02-01

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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Docket No.: YOR920010700US1

JC997 U.S. PTO
09/823049



Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): David F. Bantz and Steven Mastrianni

For: METHOD AND APPARATUS FOR PERFORMING EMERGENCY
SHUTDOWN OF A MALFUNCTIONING COMPUTER SYSTEM
SAVING ALL OPEN FILES, DATA AND WORK IN PROGRESS
TO A REMOTE DATA STORAGE BUSINESS ENTITY

Enclosed are:

XXX Specification (16 pps.) consisting of: Description (14 pps); Claims (5 pps);
Abstract (1 pp);

XXX 3 sheets of drawings;

XXX Declaration and Power of Attorney;

Associate Power of Attorney;

XXX An assignment of the invention: **Assignee Name:** International
Business Machines Corporation **Assignee Residence:** Armonk, New
York, including a \$40.00 recordation fee and Assignment Recordation
Form Cover Sheet;

Information Disclosure Statement (with a copy of a patent);

Form - PTO-1449;

The undersigned attorney has verified that the application is entitled to a
Small Entity Status; and

Priority of U.S. Patent Application Serial No. _____, filed on
is claimed under 35 U.S.C. §120.

Priority of U.S. Provisional Patent Application Serial No. _____, filed
on _____ is claimed under 35 U.S.C. §119(e).

A Request and Certification under 35 U.S.C. 122(b)(2)(B)(i);

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	24 - 20 =	4	x \$18.00	\$72.00
Independent Claims	5 - 3 =	2	x \$80.00	\$160.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
TOTAL FILING FEE				\$942.00

1/2 FILING FEE FOR SMALL ENTITY	\$N/A
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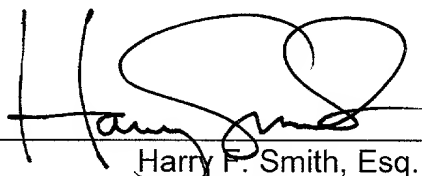
_____ No fee enclosed – filing by missing parts.

XXX Please charge **Deposit Account No. 50-510** in the amount of \$ **982.00** for the filing fee (\$942) and the assignment recordal fee (\$40);

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 50-510**. A duplicate copy of this Form is enclosed.

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March 30, 2001
Date of Signature

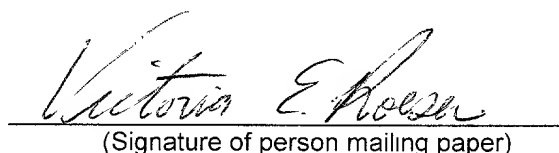


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL754409645US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on March 30, 2001.

Victoria E. Roeser
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